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Optimizing clinical study designs for CAR-T cell therapy: Development of an efficient sampling strategy through optimal experimental design

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Manuscript/Publication

Optimizing clinical study designs for CAR-T cell therapy: Development of an efficient sampling strategy through optimal experimental design

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Model & analysis code: github.com/Kloft-Lab/Klima-et-al-2026-CART-OptimalDesign

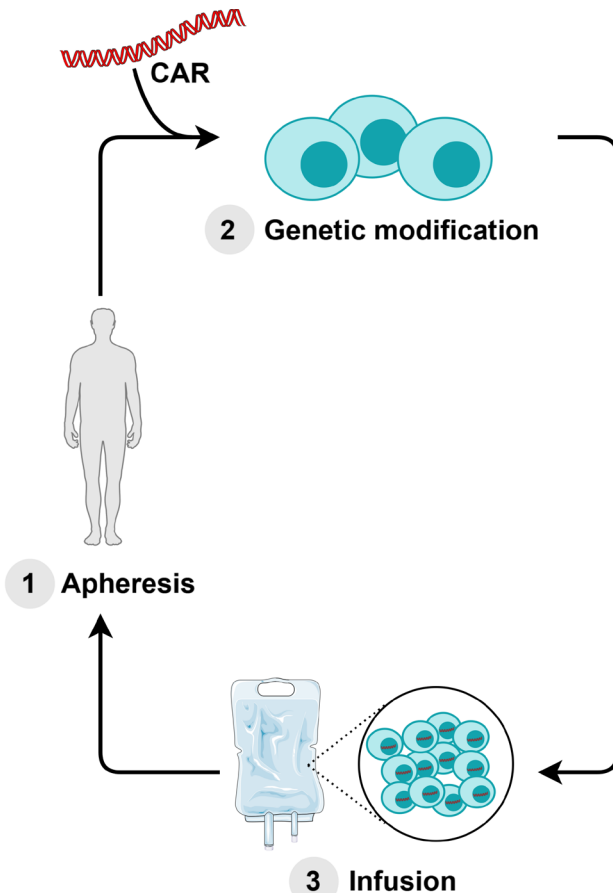
Designing efficient studies for emerging therapies

- Three obstacles tend to defeat locally-optimal design — and they appear together for many novel therapies:
 1. Uncertain priors: The design criterion depends on parameter values we do not yet know , estimated from a tiny first-in-class dataset.
 2. Between-subject variability: Large heterogeneity between patients and even between candidate study populations shifts where information sits.
 3. Infeasible fixed schedules: Clinic logistics, ethics and assay cost rule out exact sampling times; a schedule must tolerate some “wiggle room”.
- This talk: a robust, flexible optimal-design framework that tries to handle all three at once, and yield a schedule a clinic can run.

CAR-T cell therapy: a “living drug”

A patient’s own T cells are re-engineered to attack their cancer.

T cells are collected, transduced to express a **chimeric antigen receptor (CAR)** against a tumour-surface antigen (here **CD19** on B-cell malignancies), expanded, and re-infused.

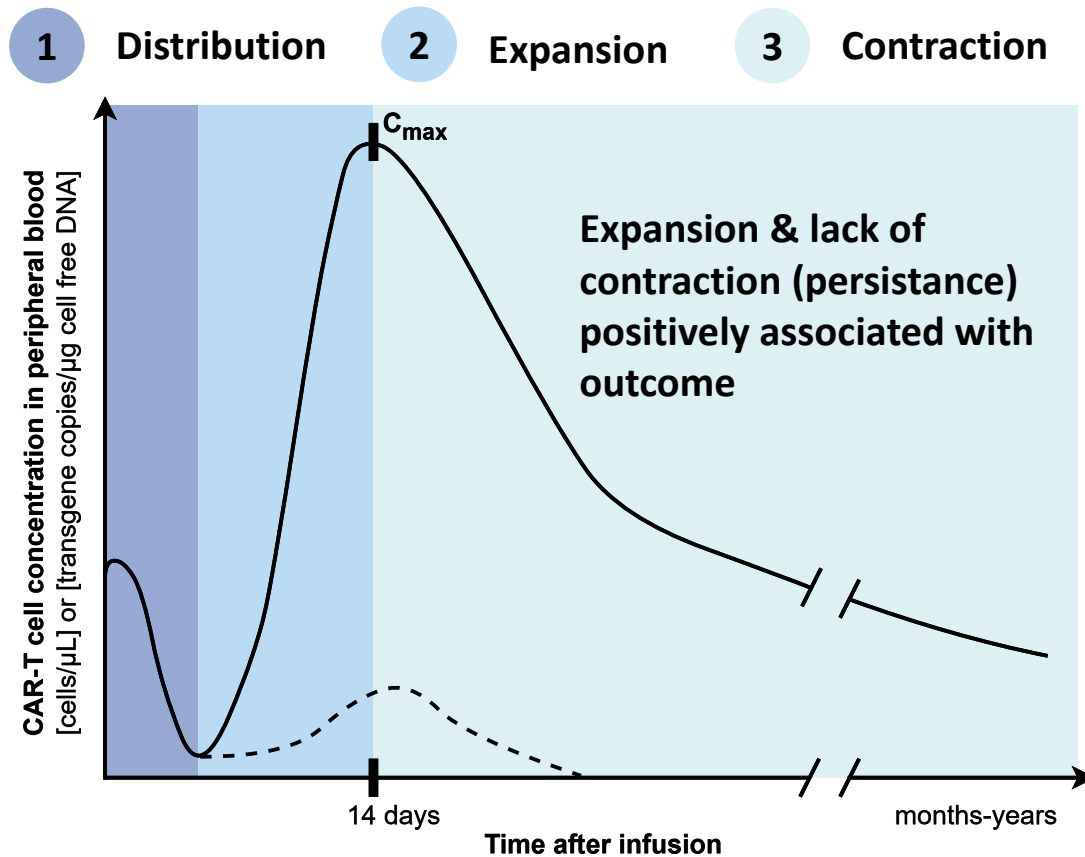


- Vastly improved overall survival (complete remission) in many patients
- 40-60% of patients without long-term response

WHY A STATISTICIAN SHOULD CARE

- **No absorb-and-clear PK.** The dose self-amplifies in vivo, then contracts, then persists, exposure is not dose-proportional.
- **Target-coupled dynamics.** Expansion is driven by the tumour the cells are killing, a feedback loop, not a one-way input.
- **A genuinely nonlinear system.** The “living” behaviour makes information about parameters cluster in narrow, unknown time intervals.

The pharmacokinetics (PK) are why the design problem is hard



A single infusion produces a multiphasic profile spanning ~4 orders of magnitude.

Consequences for design

- Information about kinetic parameters is concentrated in short, parameter-dependent windows — uniform sampling wastes most samples.
- Peak timing and persistence carry the parameters tied to clinical outcome yet vary widely between patients.

...and the data are scarce

Rare indications: few eligible patients per centre

High treatment cost: small trials, sparse sampling

Costly bioanalysis: CAR-T phenotyping limits samples/patient

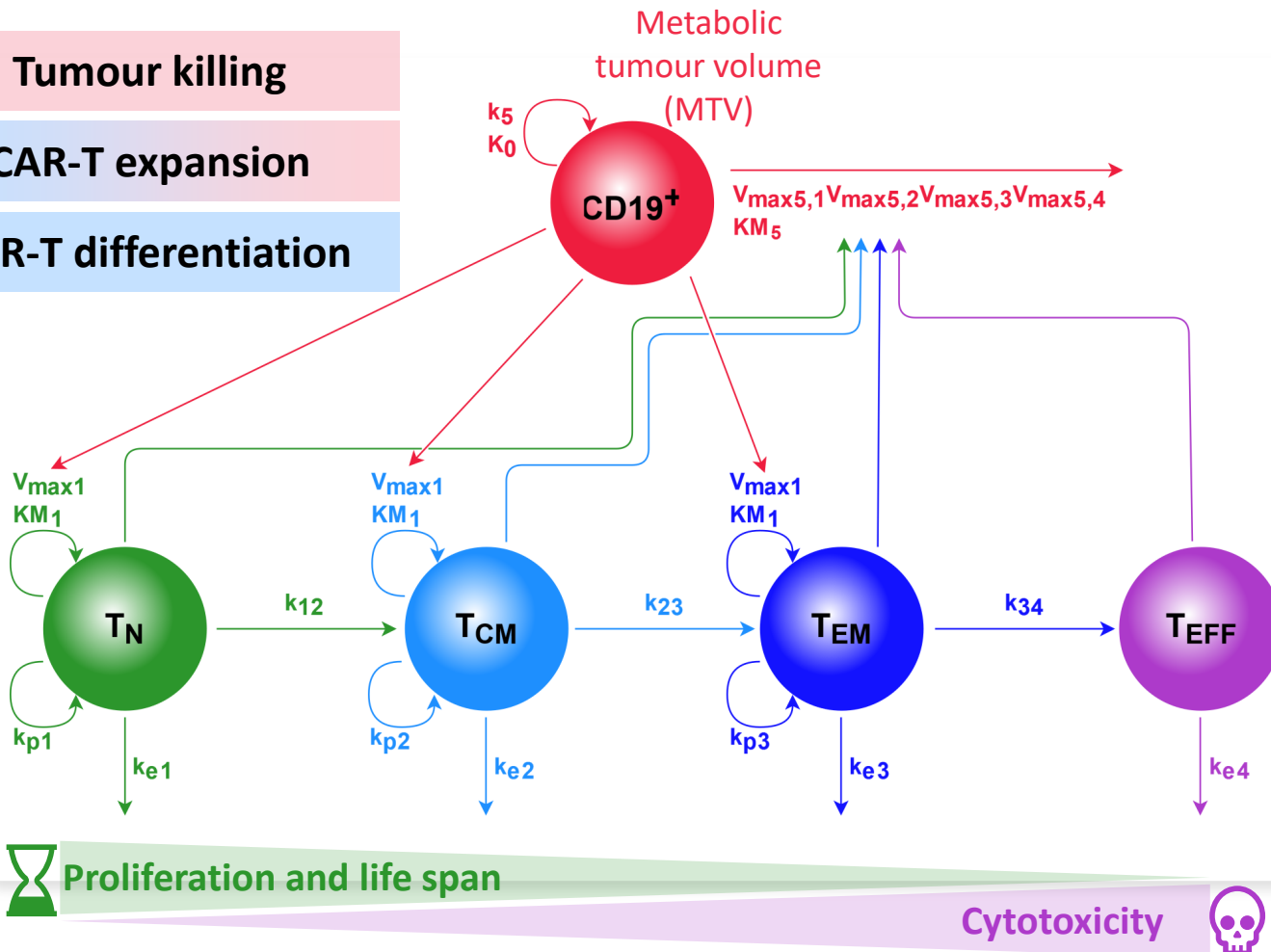
A mechanistic nonlinear mixed-effects model

Published QSP model (Mueller-Schoell et al., 2021), fit to 19 r/r non-Hodgkin lymphoma patients on axicabtagene ciloleucel.

Tumour killing

CAR-T expansion

CAR-T differentiation



STRUCTURAL (ODE system)

Disposition: first-order differentiation k_{12}, k_{23}, k_{34} , proliferation k_p , death k_e

Expansion: tumour-driven, saturable (Michaelis–Menten); 2-component mixture on V_{max} (ref / low)

Killing: saturable, phenotype-specific ($V_{max5,j}$, K_{M5}); logistic tumour growth (k_5 , K_0)

STOCHASTIC

IIV ($\eta \sim N$) on $V_{max,ref}$ and $V_{max5,2}$

Subpopulation membership $\sim$ Bernoulli

Residual error: proportional (+ small additive — see later slide)

OBSERVATIONS · 2 outputs

y_1 CAR-T phenotype conc. (flow cytometry) ·
 y_2 MTV (PET/CT)

≈ 12 structural parameters to identify

Robust D-optimal design on the population FIM

OBJECTIVE & INFORMATION

Design ξ : CAR-T sampling times; MTV times fixed by imaging schedule.

Criterion: D-optimality, maximize $\ln(\det M(\xi, \Theta))$ over the population Fisher information matrix M .

Approximation: M has no closed form for a nonlinear mixed-effects model. We use the first-order (FO) linearization in PopED.

No GET for nonlinear mixed-effect models, so every conclusion is re-checked by simulation/estimation (later slide).

ROBUSTNESS

A local design is optimal only at the assumed Θ . We robustify over two sources:

Between-population variability — 100 replicate virtual populations (covariate samples for initial tumour burden, mixture membership).

Prior parameter uncertainty — Θ drawn from the SIR posterior of the original 19-patient fit.

Windows — optimize per scenario, then take the 5th–95th percentile of the optimal times as a sampling window.

Workflow



How many patients?

Minimal population size to identify every parameter at target precision.

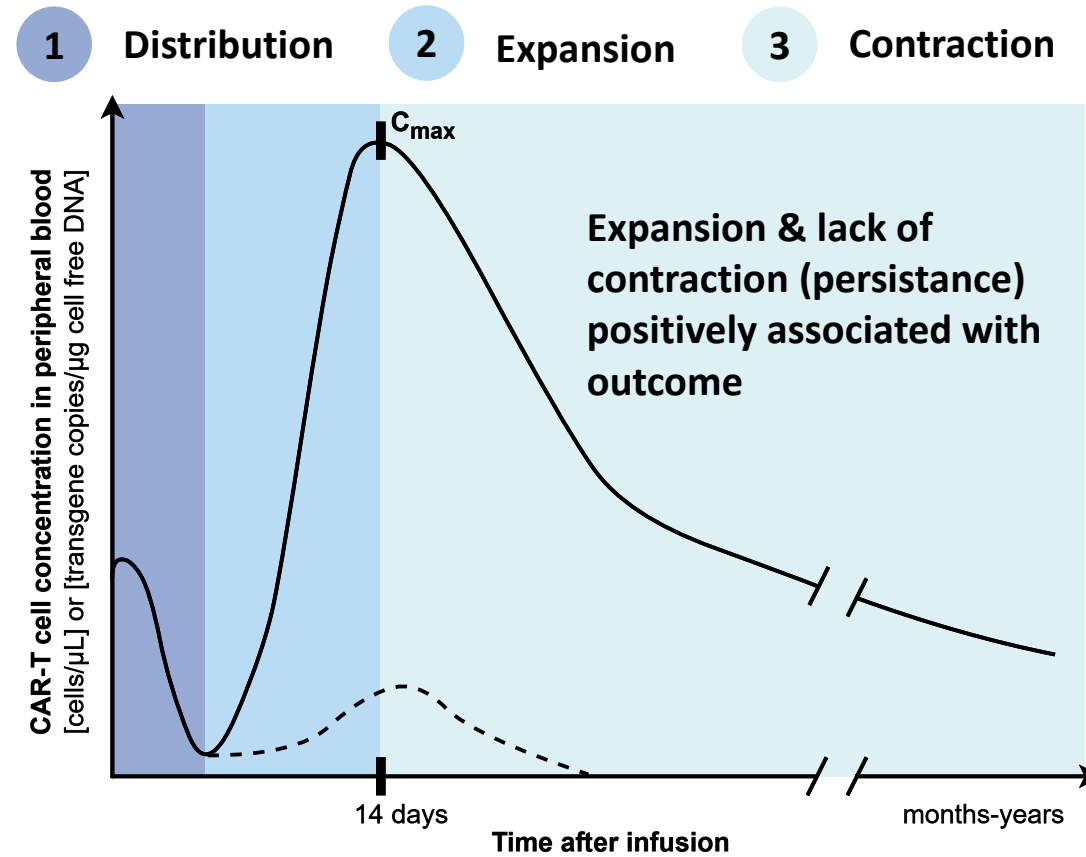
Which samples, when?

Optimize CAR-T sample times

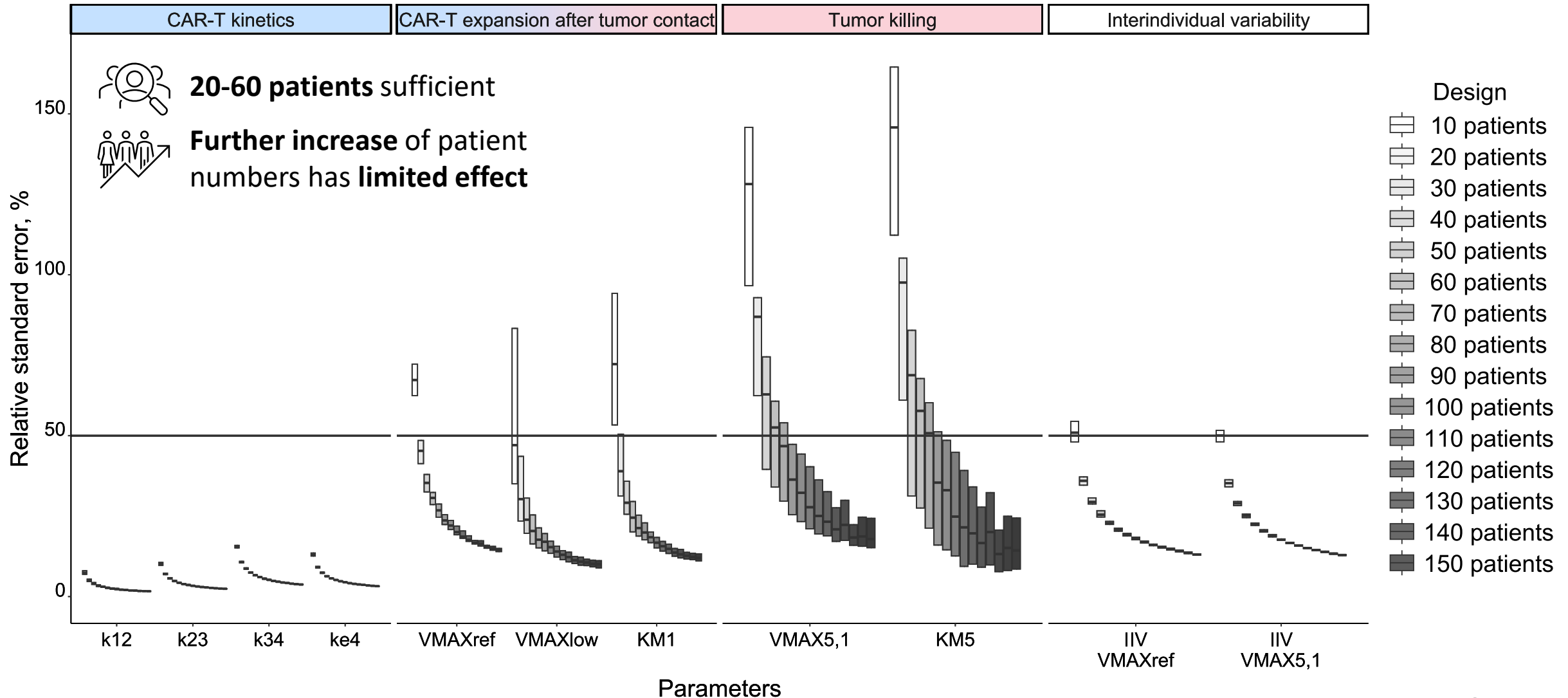
Fixed times or windows?

Convert per-scenario optima into clinically feasible, robust sampling windows.

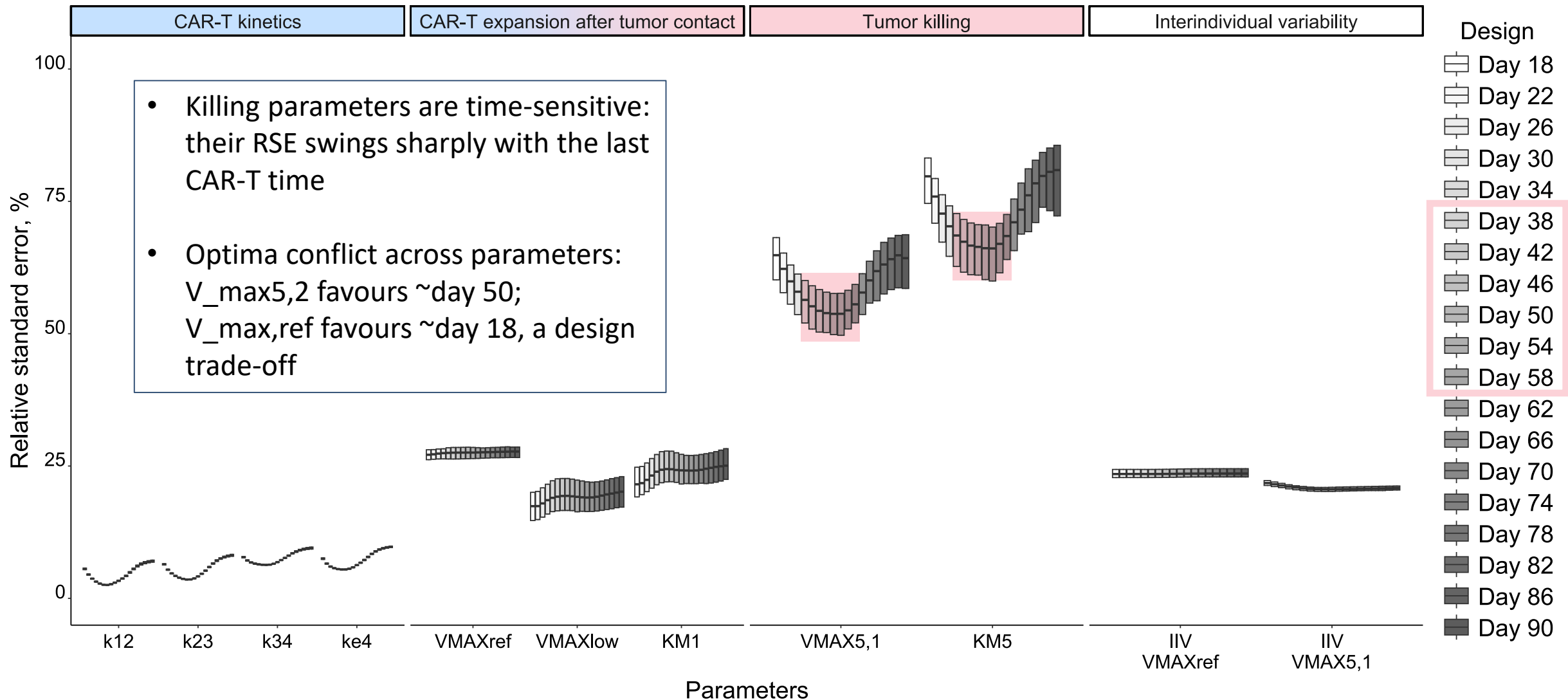
Reference design



The model is identifiable at a feasible sample size



Evaluating the FIM at different sampling schedules



Sampling windows

How the windows are built

- 1 Optimise per scenario** D-optimal CAR-T times for each population replicate × parameter draw.
- 2 Take the spread** For each sample, the 5th–95th percentile of optimal times becomes a window.
- 3 Compare uncertainty sources** Population variability alone → narrow windows; adding parameter uncertainty → the wide, realistic windows.

OPTIMISED CAR-T SAMPLING WINDOWS

2–4

days post-infusion

Window 1

12–18

days post-infusion

Window 2

32–47

days post-infusion

Window 3

111%

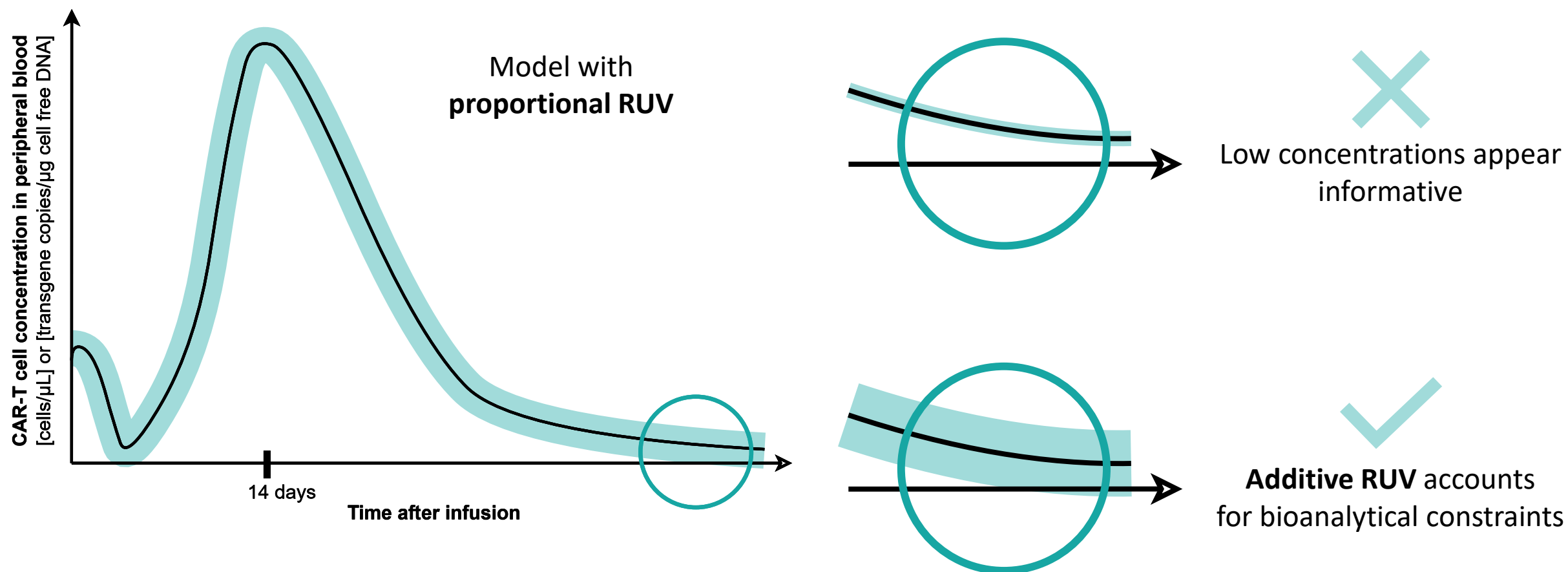
**D-efficiency vs.
reference**

[90% CI 106–118%]

≈11%

**fewer patients
for equal precision**

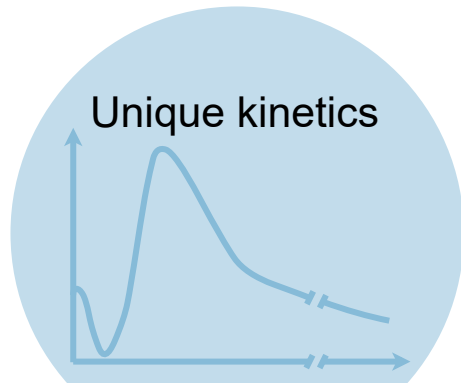
Only proportional RUV in model can lead to implausible results



Efficient study design for clinical CAR-T cell data

 **≥20-60 patients**
sufficient

 **Informative sampling windows**



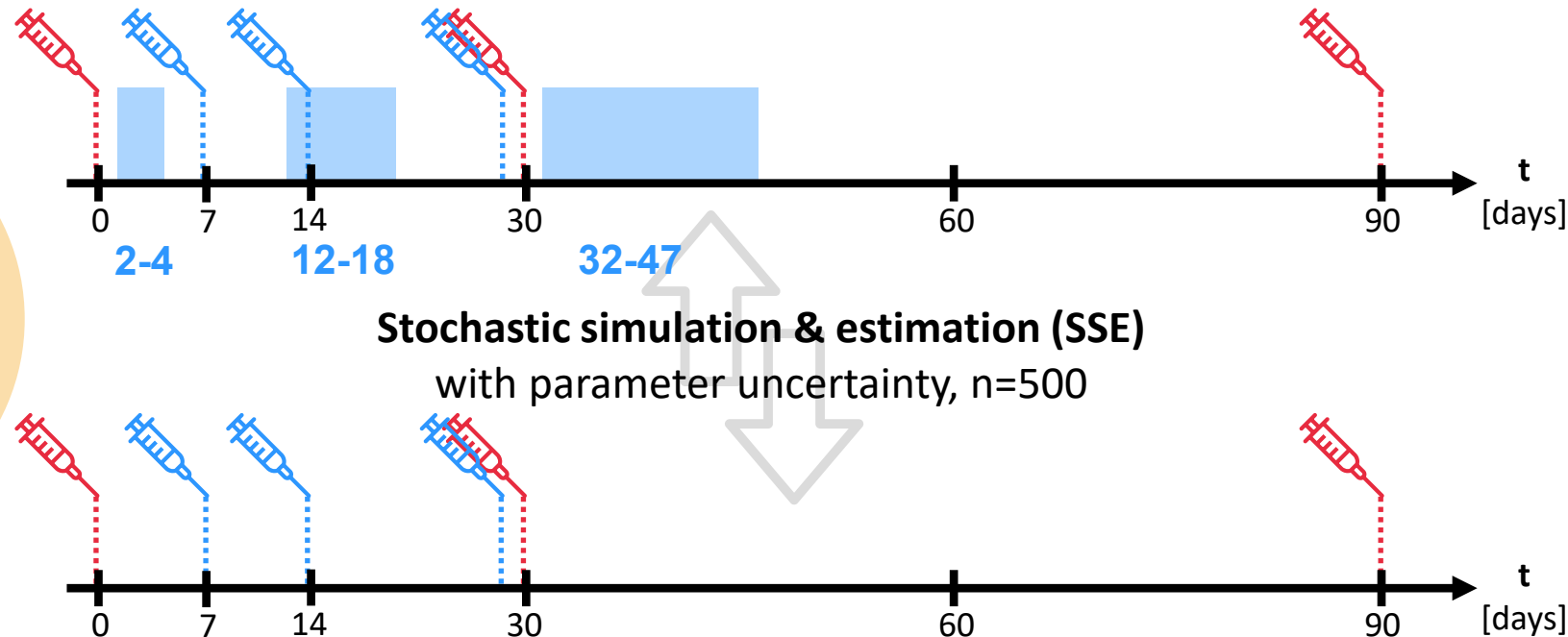
Minimal and efficient study design



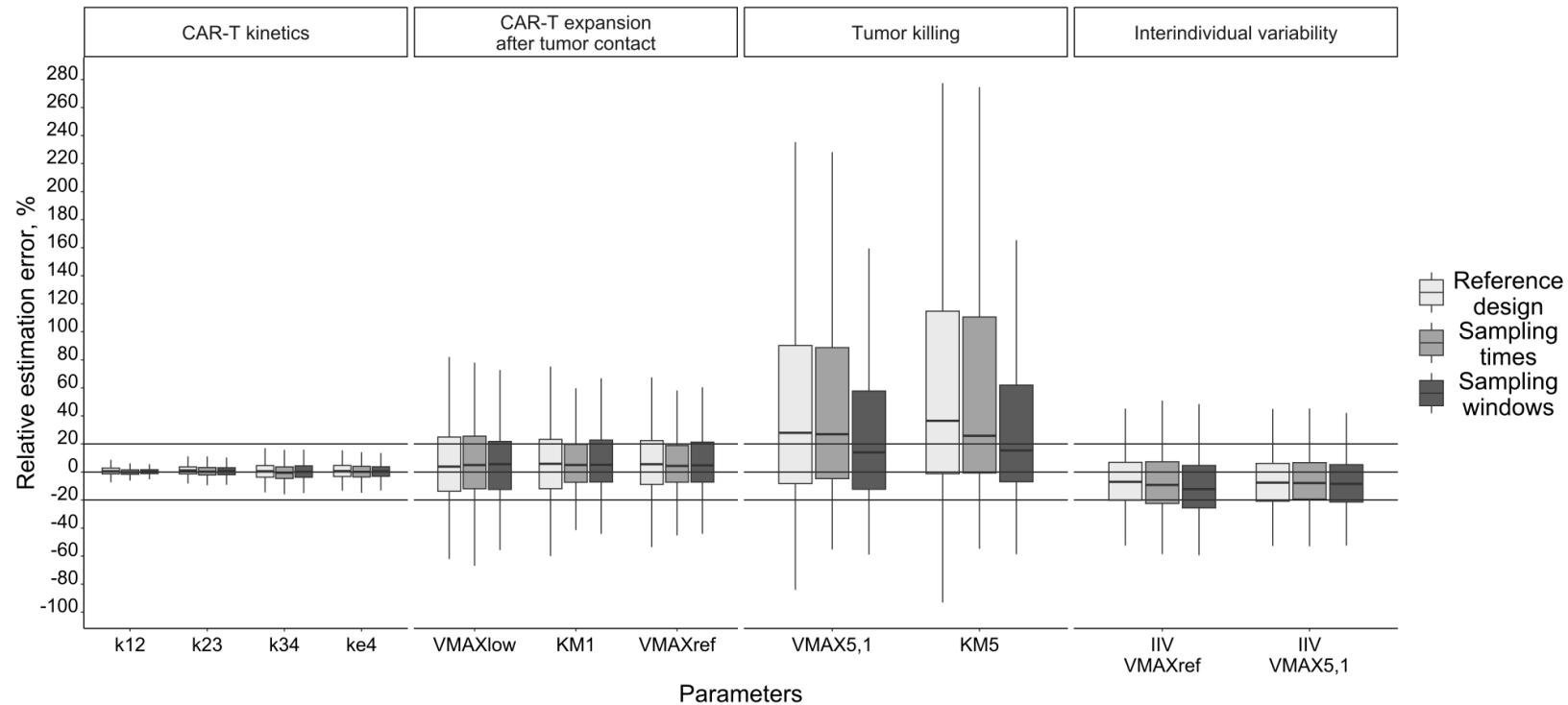
Uncertainty on
parameter values



Clinical feasibility &
resources



Do the asymptotic predictions hold in finite samples?

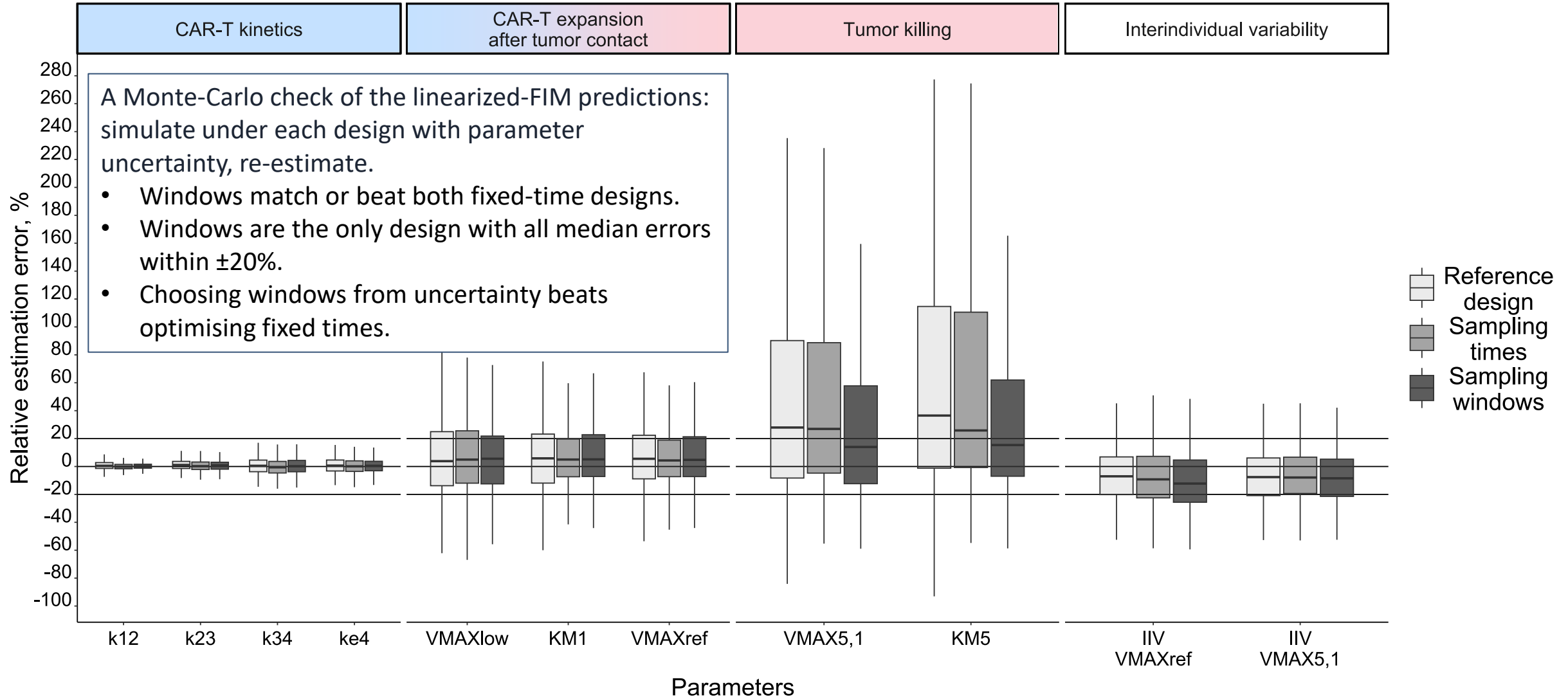


Stochastic simulation & estimation

A Monte-Carlo check of the linearised-FIM predictions: simulate under each design, re-estimate, inspect bias & precision.

- Windows match or beat both fixed-time designs.
- Windows are the only design with all median errors within $\pm 20\%$.
- Choosing windows from uncertainty beats optimising fixed times.

Do the asymptotic predictions hold in finite samples?



Takeaways

- Robust + flexible beats local + fixed: Designing windows from parameter uncertainty out-performed optimizing exact timepoints, even under a simulation check, and even when the fixed times were themselves optimal.
- The error model is part of the design: A proportional-only residual model gives a degenerate D-optimum; a small additive floor regularizes it. Specify the observation error before trusting the optimizer.
- Approximate, then verify: FO-based optimization allows us to optimize for NLME models; need to pair it with simulate-and-refit evaluation.
- It produced an implementable schedule: 60 patients, 3 fixed tumor assessments, 3 CAR-T windows (days 2–4, 12–18, 32–47), the first OED applied to a cellular immunotherapy.